



SUBSTITUTE FORM PTO-1449  
(MODIFIED)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
00167/340001

SERIAL NO.

09/290 203

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)APPLICANT  
Alan E. Shluzas et al.FILING DATE  
April 12, 1999

GROUP

3731

(37 CFR 1.98(b))

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER								ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
W	BA	4	6	0	2	6	3	5		07-29-86	Mulhollan et al.	128	334 R	
	BB	4	6	2	1	6	4	0		11-11-86	Mulhollan et al.	128	340	
	BC	4	6	4	1	6	5	2		02-10-87	Hutterer et al.	128	334 R	
	BD	4	6	4	3	1	7	8		02-17-87	Nastari et al.	128	92 YD	
	BE	4	7	7	9	6	1	6		10-28-88	Johnson	128	334 R	
	BF	4	7	8	1	1	9	0		11-01-88	Lee	128	334 R	
	BG	4	8	9	0	6	1	5		01-02-90	Caspari et al.	128	340	
	BH	4	9	2	3	4	6	1		05-08-90	Caspari et al.	606	146	
	BI	4	9	5	7	4	9	8		09-18-90	Caspari et al.	606	146	
	BJ	4	9	6	1	7	4	1		10-09-90	Hayhurst	606	139	
	BK	5	0	8	4	0	5	8		01-28-92	Li	606	148	
	BL	5	0	8	7	2	6	3		02-11-92	Li	606	148	
	BM	5	1	0	0	4	1	5		03-31-92	Hayhurst	606	139	
	BN	5	1	0	0	4	1	8		03-31-92	Yoon et al.	606	139	
	BO	5	1	0	0	4	2	1		03-31-92	Christoudias	606	147	
	BP	5	1	3	3	7	2	3		07-28-92	Li et al.	606	148	
	BQ	5	1	4	9	3	2	9		09-22-92	Richardson	604	272	
	BR	5	1	6	3	9	4	6		11-17-92	Li	606	148	
	BS	5	1	7	6	6	9	1		01-05-93	Pierce	606	148	
	BT	5	1	8	1	9	1	9		01-26-93	Bergman et al.	606	144	
	BU	5	1	9	2	2	8	7		03-09-93	Fournier et al.	606	139	
	BV	5	2	0	1	7	4	4		04-13-93	Jones	606	148	
	BW	5	2	1	7	4	7	1		06-08-93	Burkhart	606	148	
	BX	5	2	2	2	5	0	8		06-29-93	Contarini	128	898	
	BY	5	2	3	4	4	4	3		08-10-93	Phan et al.	606	148	
	BZ	5	2	3	4	4	4	4		08-10-93	Christoudias	606	148	

EXAMINER

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WZ	CA 5 2 5 0 0 5 4	10-05-93	Li	606	148	
	CB 5 2 5 0 0 5 5	10-05-93	Moore et al.	606	148	
	CC 5 2 5 7 6 3 7	11-02-93	El Gazayerli	128	898	
	CD 5 2 6 1 9 1 7	11-16-93	Hasson et al.	606	139	
	CE 5 2 6 9 7 9 1	12-14-93	Mayzels et al.	606	148	
	CF 5 2 8 1 2 3 4	01-25-94	Wilk et al.	606	139	
	CG 5 3 8 2 2 5 7	01/17/95	Lewis et al.			
	CH 5 2 5 9 8 4 6	11/09/93	Granger et al.			
	CI 5 2 2 4 9 5 5	07/06/93	West			
	CJ 4 1 6 1 9 5 1	07/24/79	Scanlan, Jr.			
	CK 3 3 4 9 7 7 2	10/31/67	Rygg			
	CL 2 8 8 0 7 2 8	04/07/59	Rights			
	CM 5 3 8 7 2 2 1	02/07/95	Bisgaard			
	CN 5 3 8 9 1 0 3	02/14/95	Melzer et al.			
	CO 5 4 1 7 7 1 2	05/23/95	Whittaker et al.			
	CP 5 4 5 4 8 2 3	10/03/95	Richardson et al.			
	CQ 5 2 6 9 7 8 3	012/14/93	Sander			
	CR 5 5 7 8 0 4 4	11/26/96	Gordon			
	CS 4 1 6 4 2 2 5	08/14/79	Johnson et al.			
	CT 3 9 4 6 7 4 0	03/30/76	Bassett			
	CU					

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

CV	
CW	
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Vikhi/muh	12/3/99

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09/290203

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WZ	DA 5 7 3 0 7 4 7	03/24/98	Ek et al.			
	DB 3 9 0 1 2 4 4	08/26/75	Schweizer			
	DC 5 3 1 8 5 7 7	06/07/94	Li			
	DD 5 3 9 7 3 2 5	03/14/95	Della Badia et al.			
	DE					
	DF					
	DG					
	DH					
	DI					
	DJ					
	DK					

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
WZ	DL WO 96/39948	12/19/96	PCT				
	DM WO 96/39946	12/19/96	PCT				
	DN WO 95/02363	01/25/95	PCT				
	DO EP 0 788 004 A1	06/11/97	Europe				
	DP						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

WZ	DD	Smith & Nephew Brochure: Acufex Suture Punch, 1997
	DR	Smith & Nephew Dyonics Brochure: Proline Reusable Endoscopic Hand Instruments, 1994
	DS	Auto Suture Company Brochure: Endoscopic Suturing Made Easy, 1994

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Substitute Disclosure Form (PTO-1449)